



Authorization Agreement for Direct ACH Debit & Credits

| Account Information | | |
|--|--------------------------------|------------------------|
| Customer Name: _____ | Trading Account Number: _____ | |
| Email address: _____ | Phone number: _____ | |
| <p>I (We) hereby authorize Wedbush Securities Inc., to initiate bank account debit or credit entries via Automated Clearing House (ACH) to or from my (our) Bank Account held at the depository financial institution named below (the "Depository"). I (We) acknowledge that the origination of ACH transactions to my (our) account by Wedbush Securities Inc. must comply with the provisions of U.S. law. I (We) further acknowledge that the amount of all debits executed pursuant to this authorization may vary, but each debit shall equal the amount of the then due "Margin Call" or other deficit (as determined under the Wedbush Securities Inc. Account Agreement or other document as the case may be) plus any applicable fees. I (We) agree and acknowledge that ACH transactions under this authorization may be used only for amounts less than or equal to \$100,000; provided, however, that if market conditions dictate Wedbush Securities Inc. reserves the right to (i) allow for ACH's greater than \$100,000 or (ii) request that Margin Calls be made by means other than ACH, such as Federal Wire.</p> | | |
| Bank Information | | |
| Depository Name: _____ | Branch: _____ | |
| City: _____ | State: _____ | Zip Code: _____ |
| Routing Number: _____ | Bank account Number: _____ | |
| Client Authorization | | |
| <p>This authorization is to remain in full force and effect until Wedbush Securities Inc. has received written notification from me (or either of us) of its termination at the address and facsimile number listed below in such time and manner as to afford Wedbush Securities Inc. and Depository a reasonable opportunity to act on such notification. I (We) understand that if I (We) revoke this authorization or fail to maintain sufficient credit in the account to complete the ACH transaction, Wedbush Securities Inc. may choose to immediately close my (our) Account.</p> <p>I (We) release Wedbush Securities Inc. and its affiliates, agents and representatives from all liability for their compliance with these instructions.</p> <p>I (We) understand that any fund transfer via an ACH system under this authorization is subject to the rules of the National Automated Clearing House Association applicable to the ACH system. It is not subject to the rules of the Electronic Funds Transfer Act, Regulation E issued by the Board of Governors of the Federal Reserve, Uniform Commercial Code Article 4A or Illinois Compiled Statute Chapter 205, Article 616.</p> <p>All notices to Wedbush Securities Inc. shall be in writing and directed to the following address and facsimile number: Wedbush Securities Inc., 350 N. Orleans St, 3rd Floor South, Chicago, IL 60654; facsimile number: (608) 348-5986 or email funds@wedbush.com. Notice shall be deemed as made when the original mailed notice is received by Wedbush Secur or when receipt of a facsimile transmission is acknowledged by Wedbush Securities Inc.</p> | | |
| Customer Signature: _____ | Joint Account Signature: _____ | <i>(If Applicable)</i> |
| Printed Name: _____ | Printed Name: _____ | |
| Date: _____ | Date: _____ | |
| PLEASE ATTACH A VOIDED CHECK AND INCLUDE IT WITH THIS DOCUMENT. | | |